



SANCTUARY
WEALTH



Sanctuary Wealth has implemented a Business Continuity Plan (“BCP”) intended to address and mitigate the possibility of a significant business disruption by responding quickly to any business operational risks that arise. Included within our Business Continuity Plan is the safeguarding of employees and property while protecting the firm's records.

Our business continuity plan addresses financial and operational assessments, data backup and recovery, power outages, etc. Because it is not possible to anticipate the nature, scope, impact of every possible business disruption we will assure clients prompt access to their funds and securities if we are unable to continue our business.

Our firm does not maintain custody of customers funds or securities, which are maintained with our clearing firm and statutorily qualified custodial firms. Sanctuary Wealth has a multi custodian platform which include Charles Schwab, TD Ameritrade, Fidelity, and Pershing. If after a significant business disruption, and you are unable to contact us at sanctuarywealth.com,

you should consult your financial advisor. If you cannot contact your financial advisor or us, please visit your respective custodian site at schwab.com, tdameritrade.com, investor.pershing.com and fidelity.com. Updates will also be posted on sanctuarywealth.com.

While no contingency plan can eliminate all risks of service interruption, we continually assess our BCP which could be subject to modification if necessary. A summary of our BCP is available upon request by writing Sanctuary Wealth, 250 W 96th St., Suite 300, Indianapolis, IN 46260, Attn: Compliance Department or by sending to compliance@sanctuarywealth.com.